

PATENT  
ATTY. DOCKET NO. IBM/67DV1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Galbraith et al. Art Unit: 2187  
Serial No. : 09/935,939 Examiner: Brian Peugh  
Filed : August 23, 2001  
For : ADVANCED READ CACHE MANAGEMENT

Assistant Commissioner of Patents  
Washington, DC 20231

NOTICE OF APPEAL

Applicant hereby appeals the Examiner's Final Rejection  
mailed October 23, 2002, rejecting claims 21-30 and 45-57.

Please apply the applicable appeal fee, and any  
applicable fee for petition for extension of time, to Deposit  
Account 23-3000. If any other charges or credits are necessary  
to complete this communication, please apply them to Deposit  
Account 23-3000.

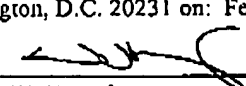
Respectfully submitted,



Thomas W. Humphrey  
Reg. No. 34,353

Wood, Herron & Evans, L.L.P.  
2700 Carew Tower  
441 Vine Street  
Cincinnati, OH 45202-2917

I hereby certify that this correspondence is being facsimile  
transmitted to the Assistant Commissioner of Patents,  
Washington, D.C. 20231 on: February 24, 2003

  
Thomas W. Humphrey  
Reg. No. 34,353

03/04/2003 00:00:00 00000002 233000  
01 FC:1401 320.00 CH  
02 FC:1251 110.00 CH

**WOOD, HERRON & EVANS, L.L.P.**

JOHN D. POFFENBERGER  
 BRUCE TITTEL  
 DONALD F. FREI  
 DAVID J. JOSEPHIC  
 DAVID S. STALLARD  
 J. ROBERT CHAMBERS  
 GREGORY J. LUNN  
 KURT L. GROSSMAN  
 CLEMENT H. LUKEN, JR.  
 THOMAS J. BURGER  
 GREGORY F. AHRENS  
 WAYNE L. JACOBS  
 KURT A. SUMME  
 KEVIN G. ROONEY  
 KEITH R. HAUPT  
 THEODORE R. REMAKLUS  
 THOMAS W. HUMPHREY  
 SCOTT A. STINEBRUNER  
 DAVID H. BRINKMAN  
 OF COUNSEL  
 THOMAS W. FLYNN

2700 CAREW TOWER  
 441 VINE STREET  
 CINCINNATI, OHIO 45202-2917  
 TELEPHONE: 513-241-2324  
 FACSIMILE: 513-241-8234  
 EMAIL: info@whepatent.com

PATENT, TRADEMARK, COPYRIGHT  
 AND UNFAIR COMPETITION LAW  
 AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968  
 TRUMAN A. HERRON 1935-1976  
 EDWARD B. EVANS 1936-1971

JOSEPH R. JORDAN  
 C. RICHARD EBY

DAVID E. PRITCHARD  
 J. DWIGHT POFFENBERGER, JR.  
 BEVERLY A. LYMAN, PH.D.  
 KATHRYN E. SMITH  
 KRISTI L. DAVIDSON  
 P. ANDREW BLATT, PH.D.  
 DAVID G. JEFFERIES  
 WILLIAM R. ALLEN, PH.D.  
 JOHN P. DAVIS  
 DOUGLAS A. SCHOLER  
 BRETT A. SCHATZ  
 DAVID W. DORTON  
 RONALD J. RICHTER, M.D.  
 G. PRABHAKAR REDDY  
 SARAH OTTE GRABER

TECHNICAL ADVISORS  
 HENRY M. LABODA, PH.D.  
 LARRY D. MOORE, B.S.E.E.

February 24, 2003

**FACSIMILE COVER SHEET**

To: Examiner B. R. Peugh

From: Thomas W. Humphrey *TW*

Fax: 703-746-7239

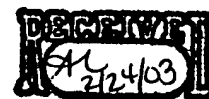
Re: Our File: IBM-67DV1  
 US Application Serial No. 09/935,939  
 Filed August 23, 2001  
 ADVANCED READ CACHE  
 MANAGEMENT  
 Galbraith et al.

Pages: 2 (including cover sheet)

**MESSAGE/COMMENTS**

Attached is a Notice of Appeal of the above-referenced application.

**Official**



The information in this facsimile message is ATTORNEY-CLIENT PRIVILEGED, WORK PRODUCT and/or CONFIDENTIAL INFORMATION intended only for the use of the individual or entity to whom this message is addressed. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that any dissemination, distribution or reproduction of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone and return the original message to us at the above address via mail. Thank you.  
 If transmission is interrupted or of poor quality, please notify us immediately by calling (513) 241-2324 and ask for the sender's assistant. OUR FAX NUMBER IS (513) 421-7269.